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(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of: Chi-Ming Che Confirmation No. 4526

Application No.: 10/663,894 Group Art Unit: 1614

Filed: September 16, 2003 Examiner: Not Yet Assigned

For: METHODS FOR USING GOLD(III) New York, NY COMPLEXES AS ANTI-TUMOR AND August 24, 2004

ANTI-HIV AGENTS

FIRST PRELIMINARY AMENDMENT

MS Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

Amendments to the Specification are explained on page 2 of this paper.

A clean copy and a marked-up copy of the substitute specification, respectively, are attached hereto.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 16 of this paper.